

**Reminder:** Please read and sign the engagement letter, and return it with the completed questionnaire.

**PERSONAL INFORMATION**

- |  | Yes                      | No                       |
|--|--------------------------|--------------------------|
| 1 Did your marital status change during 2010? .....                            | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 If married, do you and your spouse want to file separate returns? .....      | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 Can you or your spouse be claimed as a dependent by another taxpayer? .....  | <input type="checkbox"/> | <input type="checkbox"/> |
| 4 Did you or your spouse retire or change jobs in 2010? .....                  | <input type="checkbox"/> | <input type="checkbox"/> |
| 5 Were you or your spouse permanently and totally disabled in 2010? .....      | <input type="checkbox"/> | <input type="checkbox"/> |
| 6 Were you or your spouse a member of the U.S. Armed forces during 2010? ..... | <input type="checkbox"/> | <input type="checkbox"/> |
| 7a Did your address change? .....  | <input type="checkbox"/> | <input type="checkbox"/> |
| b <b>If yes</b> , please provide the new address: _____                        |                          |                          |
| 8 What is your current e-mail address: _____                                   |                          |                          |

**DEPENDENT INFORMATION**

- |  | Yes                      | No                       |
|--|--------------------------|--------------------------|
| 9a Did you have any new children in 2010? .....  | <input type="checkbox"/> | <input type="checkbox"/> |
| b <b>If yes</b> , enter the name as it appears on the child's Social Security Card: _____  |                          |                          |
| c Enter the date of birth and Social Security Number of the child: ____/____/____ - ____ - _____   |                          |                          |
| 10a Do you have dependents who must file a tax return? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| b <b>If yes</b> , do you want us to prepare their returns? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| 11a Do you have children who are under the age of 19 or a full time student under the age of 24 with investment income greater than \$1,900? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| b <b>If yes</b> , do you want to include your childrens' income on your return? .....  | <input type="checkbox"/> | <input type="checkbox"/> |
| 12 Are there any concerns on meeting the definition of a custodial parent, as a requirement of claiming a child as a dependent? (Defined as the parent with whom the child resides for a greater number of nights "night-overs" during the calendar year.) ..... | <input type="checkbox"/> | <input type="checkbox"/> |
| 13 Did you provide over half the support for any other person during 2010? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| 14 Did you pay for child care while you worked or looked for work in 2010? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| 15 Did you incur any adoption expenses during 2010? .....  | <input type="checkbox"/> | <input type="checkbox"/> |

**HEALTH AND LIFE INSURANCE**

- |   | Yes                      | No                       |
|---|--------------------------|--------------------------|
| 16 Did you or your spouse have self-employed health insurance in 2010? .....  | <input type="checkbox"/> | <input type="checkbox"/> |
| 17 If you or your spouse are self-employed, are either of you eligible to be covered under an employer's health plan at another job? <b>If yes</b> , how many months were you covered? _____ Months ..... | <input type="checkbox"/> | <input type="checkbox"/> |
| 18 Did your employer pay premiums on life insurance in excess of \$50,000 where the proceeds are payable to beneficiaries named by you? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| 19 Did you or your spouse have any transactions pertaining to a medical savings account (MSA) during 2010? .....<br>If you received a distribution from a MSA, please include Form 1099-SA.               | <input type="checkbox"/> | <input type="checkbox"/> |
| 20 Did you or your spouse have any transactions pertaining to a health savings account (HSA) during 2010? .....<br>If you received a distribution from a HSA, please include Form 1099-SA.                | <input type="checkbox"/> | <input type="checkbox"/> |
| 21 Did you or your spouse receive distributions from long-term care insurance contracts? .....<br><b>If yes</b> , please include Form 1099-LTC.   | <input type="checkbox"/> | <input type="checkbox"/> |
| 22 Did you or your spouse elect continuation of COBRA coverage after your employment was involuntary terminated? .....  | <input type="checkbox"/> | <input type="checkbox"/> |
| 23 Did you lose your job during 2010 because of foreign competition and pay for your own health insurance? .....  | <input type="checkbox"/> | <input type="checkbox"/> |

## IRA, PENSION AND EDUCATION SAVINGS PLANS

	Yes	No
24 Did you receive payments from a pension or profit-sharing plan? .....	<input type="checkbox"/>	<input type="checkbox"/>
25 Did you or your spouse contribute to a Roth IRA or convert an existing IRA into a Roth IRA? .....	<input type="checkbox"/>	<input type="checkbox"/>
26 Did you or your spouse roll into a Roth IRA any distributions from a retirement plan, an annuity plan, tax shelter annuity or deferred compensation plan? .....	<input type="checkbox"/>	<input type="checkbox"/>
27 Did you withdraw any amounts from your IRA to pay for higher education expenses incurred by you, your spouse, your children or grandchildren? .....	<input type="checkbox"/>	<input type="checkbox"/>
28 Did you withdraw any amounts from your IRA or Roth IRA to acquire a principal residence? .....	<input type="checkbox"/>	<input type="checkbox"/>
29 Did you or your spouse turn age 70 ½ during the year and have money in an IRA or other retirement account while not taking a distribution? .....	<input type="checkbox"/>	<input type="checkbox"/>
30 Did you withdraw amounts from a Coverdell Education Savings Account or Qualified Education Program (Section 529 plan)? <b>If yes</b> , please include Form 1099-Q. ....	<input type="checkbox"/>	<input type="checkbox"/>
31 Did you make any contributions to a Coverdell Education Savings Account or Qualified State Tuition Plans (Section 529 plans) during 2010? .....	<input type="checkbox"/>	<input type="checkbox"/>
32 Do you need to review and/or update your beneficiary designation forms? ..... (Life insurance, 401(k), other retirement accounts, etc...)	<input type="checkbox"/>	<input type="checkbox"/>

## ITEMS RELATED TO INCOME/LOSSES

	Yes	No
33 Did you receive any deferred, retirement, or severance compensation in 2010? .....	<input type="checkbox"/>	<input type="checkbox"/>
34 Did you receive any disability payments in 2010? .....	<input type="checkbox"/>	<input type="checkbox"/>
35 Did you receive a punitive damage award or an award for damages other than for physical injuries or illness? .....	<input type="checkbox"/>	<input type="checkbox"/>
36 Did you receive any unreported tip income of \$20 or more in any month of 2010? .....	<input type="checkbox"/>	<input type="checkbox"/>
37a Did you incur any casualty or theft losses during 2010? .....	<input type="checkbox"/>	<input type="checkbox"/>
b Did you incur any casualty or loss attributable to a federally declared disaster? .....	<input type="checkbox"/>	<input type="checkbox"/>
38 Did you incur any non-business bad debts? .....	<input type="checkbox"/>	<input type="checkbox"/>
39a Did you buy, sell, refinance, or abandon a principal residence or other real property in 2010? ..... <b>If yes</b> , please attach copies of the escrow statements or Forms 1099.	<input type="checkbox"/>	<input type="checkbox"/>
b <b>If yes</b> , have you ever rented out this property? .....	<input type="checkbox"/>	<input type="checkbox"/>
40 Did you close any open short sales during 2010? .....	<input type="checkbox"/>	<input type="checkbox"/>
41 If you sold or abandoned a home, did you claim the First-Time Homebuyer Credit when you purchased this home? .....	<input type="checkbox"/>	<input type="checkbox"/>
42 Did you enter into a binding contract to purchase a new home by April 30, 2010? .....	<input type="checkbox"/>	<input type="checkbox"/>
43 Are you planning to purchase a home soon? .....	<input type="checkbox"/>	<input type="checkbox"/>

## BUSINESS AND INVESTMENT

	Yes	No
44 Did you earn any interest in 2010? <b>If yes</b> , please attach Forms 1099-INT. ....	<input type="checkbox"/>	<input type="checkbox"/>
45 Did you earn any dividends in 2010? <b>If yes</b> , please attach Forms 1099-DIV. ....	<input type="checkbox"/>	<input type="checkbox"/>
46 Did you receive grants of stock options from your employer, exercise any stock options granted to you or dispose of any stock acquired under a qualified employee stock purchase plan? .....	<input type="checkbox"/>	<input type="checkbox"/>
47 Did you buy or sell any stocks or bonds in 2010? ..... <b>If yes</b> , please attach broker's information related to the transactions. (Forms 1099-B and broker statements.)	<input type="checkbox"/>	<input type="checkbox"/>
48 Did you sell any securities not reported on your Form 1099-B? .....	<input type="checkbox"/>	<input type="checkbox"/>
49 Did you engage in any put or call transactions? <b>If yes</b> , please provide details. ....	<input type="checkbox"/>	<input type="checkbox"/>

- 50 Did you surrender any U.S. savings bonds during 2010? .....
- 51 Did you use the proceeds from a Series EE or I U.S. savings bond purchased after 1989 to pay for higher education expenses? .....
- 52 Did you realize a gain or loss on property which was taken from you by destruction, theft, seizure, or condemnation? ..
- 53 Did you start a business, purchase a rental property or farm, or acquire interests in a partnership or S Corporations during 2010? .....
- 54 Did you sell an existing business, rental property, farm, or any existing interest in a partnership or S Corporations during 2010? .....
- 55 Did you use any portion of your home for business purposes? .....
- 56 Did you have any investments for which you were **not** personally 'at risk' (other than sole proprietorship or farm)? .....
- 57 Did you sell property or equipment on installment in 2010? .....
- 58 Did you use gasoline or special fuels for business or farm purposes (other than for a highway vehicle) during 2010? ...
- 59 Did you have any business related educational expenses? .....
- 60 Did you do a 'like-kind' exchange of property in 2010? .....

### FOREIGN BANK ACCOUNTS AND TAXES

- |  | Yes                      | No                       |
|--|--------------------------|--------------------------|
| 61 Did you have any foreign income or pay any foreign taxes in 2010? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| 62 Were you or your spouse a grantor or transferee for a foreign trust, have an interest in or a signature or other authority over a bank account, securities account or other financial account in a foreign country? ..... | <input type="checkbox"/> | <input type="checkbox"/> |
| 63 Did the aggregate value of all your foreign accounts exceed \$10,000 (USD) at any time during 2010? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| 64 Did you create or transfer money or property to a foreign trust? .....  | <input type="checkbox"/> | <input type="checkbox"/> |

### ITEMIZED DEDUCTIONS

- |   | Yes                      | No                       |
|---|--------------------------|--------------------------|
| 65a Did you contribute property (other than cash) with a fair market value of more than \$5,000 to a charitable organization? .....                     | <input type="checkbox"/> | <input type="checkbox"/> |
| b Did you donate a vehicle in 2010? <b>If yes</b> , please attach Form 1098C. ....  | <input type="checkbox"/> | <input type="checkbox"/> |
| 66 Did you purchase a motor vehicle or boat during 2010? .....  | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>If yes</b> , please attach documentation showing the sales tax paid.   |                          |                          |
| 67 Did you pay any legal fees, advisory fees, and/or margin interest during 2010? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| 68 Did you move to a different home because of a change in the location of your job? .....  | <input type="checkbox"/> | <input type="checkbox"/> |
| 69 Did you take out a home equity loan in 2010? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| 70 Did you have an outstanding home equity loan at the end of 2010? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| 71 In total, during the life of your principal residence, have you taken out more than \$100,000 of home equity against your principal residence? ..... | <input type="checkbox"/> | <input type="checkbox"/> |
| 72 Are your total mortgages on your first and/or second residence greater than \$1,000,000? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| 73 Are you claiming a deduction for mortgage interest paid to a financial institution and someone else received the Form 1098? .....                    | <input type="checkbox"/> | <input type="checkbox"/> |
| 74 Did you start paying mortgage insurance premiums in 2010? <b>If yes</b> , please attach details. ....  | <input type="checkbox"/> | <input type="checkbox"/> |

### MISCELLANEOUS

- |   | Yes                      | No                       |
|---|--------------------------|--------------------------|
| 75 Did you receive any social security benefits, supplemental security income, railroad retirement benefits, veterans disability compensation or pension benefits? <b>If yes</b> , please report the amount here: _____ | <input type="checkbox"/> | <input type="checkbox"/> |

